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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In reapplication of:

Warren M. Farnworth Alan G. Wood Trung Tri Doan

Serial No. 10/719,907

ART UNIT: 2822

Filing Date: 11/21/2003

EXAMINER: TRINH, MICHAEL

FOR:

METHOD FOR FABRICATING ENCAPSULATED SEMICONDUCTOR COMPONENTS HAVING

CONDUCTIVE VIAS

Docket No. 01-1059.2

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER FINAL ACTION OR NOTICE OF ALLOWANCE UNDER 37 C.F.R. §1.97(c)

August 11, 2005

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

- 1. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application of after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either:
 - a. a Final Action under §1.113 or
 - b. a Notice of Allowance under §1.311, whichever occurs first.

CERTIFICATE OR FEE

| 2. | Accompanying this transmittal is | | | | |
|-----------------|----------------------------------|--|--|--|--|
| | | a certification as specified in 37 CFR 1.97(e). | | | |
| | <u>X</u> | the fee set forth in 37 CFR 1.17(p) for submission of an information | | | |
| disclosure stat | ement u | ınder §1.97(c). (\$180.00). | | | |
| | x | The commissioner is hereby authorized to charge payment o | | | |
| the following | fees as | sociated with this communication or credit any overpayment to | | | |

Deposit Account No. 07-1857, pertaining to i) any filing fees under 37 CFR 1.16 for the presentation of extra claims; ii) any patent application processing fees under 37 CFR 1.17.

DATED this 11th day of August, 2005.

Respectfully submitted:

Stephen A. Gratton Registration No. 28,418 Attorney of Record

2764 South Braun Way Lakewood, CO 80228 Telephone: (303) 989 6353 Fax: (303) 989 6538

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 11th day of August, 2005.

August 11, 2005 Date of Signature

Stephen A. Gotton, Afforney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

WARREN M. FARNWORTH ALAN G. WOOD TRUNG TRI DOAN

Serial No. 10/719,907

ART UNIT: 2822

Filing Date: 11/21/2003

EXAMINER: TRINH, MICHAEL

METHOD FOR FABRICATING ENCAPSULATED

SEMICONDUCTOR COMPONENTS HAVING

CONDUCTIVE VIAS

Docket No. 01-1059.2

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 **AUGUST 11, 2005**

Mail Stop AMENDMENT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed on the accompanying Form PTO/SB/08, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

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Respectfully submitted:

Stephen A. Gratton, Registration No. 28,418

Attorney of Record

2764 South Braun Way Lakewood, CO 80228 Telephone: 303 989 6353

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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August 11, 2005 Date of Signature

orney of Record

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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| Complete if Known | | | | | |
|-----------------------|---------------------|--|--|--|--|
| Application Number | 10/719,907 | | | | |
| Filing Date | 11/21/2003 | | | | |
| First Named Inventor | WARREN M. FARNWORTH | | | | |
| Art Unit | 2822 | | | | |
| Examiner Name | TRINH, MICHAEL | | | | |
| Attomey Docket Number | 01-1059.2 | | | | |

| Examiner | Cite | Document Number | Publication Date | T DOCUMENTS Name of Patentee or | Pages, Columns, Lines, Where |
|-----------|------------------|---|------------------|----------------------------------|---|
| Initials* | No. ¹ | Number-Kind Code ^{2 (# known)} | MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Relevant Figures Appear |
| | Α | ^{US-} 5,789,307 | 08-04-1998 | Igel et al. | |
| | В | ^{US-} 6,908,784 B1 | 06-21-2005 | Farnworth et al. | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------|---|-----------------------------|--|---|----------------|
| | Cite No.1 | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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